IHS Markit™

Counterparty Manager: Request for Amendment

Providing an automated solution for the buyside and sellside to process, manage, and maintain umbrella trading documentation through request for amendment letters.

The Request for Amendment (RFA) tool on Counterparty Manager enables firms to initiate requests for adding, removing, and modifying legal entities on existing bilateral umbrella trading documentation. The RFA tool centralizes this process while maintaining a master list of legal entities and their applicable data attributes.

The process to initiate amendments currently relies on postal mail or email. Letters are generated and sent manually and there is no way to centrally track their status. Reconciliation between the buyside and sellside is complicated and time consuming without a golden source to track amendments.

Counterparty Manager provides a central portal to generate and transmit amendment letters in bulk, while linking related onboarding and regulatory data to the letter. Simultaneously, the ability for the sellside to approve accounts individually or all at the same time has proven to reduce onboarding times up to 50%.

The automated service enables the buyside and sellside to have complete visibility of onboarding and document status. Additionally, a centrally maintained master list alleviates the need to reconcile spreadsheets, determine active versus offboarded accounts, and track changes in name and data to covered accounts.

Centralize Information

Provides a portal to manage the amendment process and maintain a master list of legal entities updated in real time facilitating account onboarding

Reinforce Security

Offers an electronic signature process in accordance with industry and jurisdictional standards while maintaining a secure archive of amendment letters

Enhance Transparency

Integrated dashboard allows users to view the status of each amendment letter and account onboarding requests

Increase Efficiency

Ability to initiate multiple amendments for all of your trading counterparties at once

All Bilateral Umbrella Document types currently supported

RFA Status

93 DRAFT

- Draft
- Submitted
- Completed
- Partially Completed
- Recalled
- Rejected

Pending Tasks

≡78 Exhibit Completion
13 Electronic Signature
2 Send RFA

Quicklinks

CREATE REQUEST FOR AMENDMENT   MASTERLISTS
CREATE LETTER TEMPLATE   CREATE EXHIBIT TEMPLATE
LETTER TEMPLATE LIBRARY   EXHIBIT TEMPLATE LIBRARY

- Pending
- Addition
- Rejected
- Removal
- Withdraw
- Modification

Request for Amendment

163 Request for Amendment   Clear Filters

Reference Master Agreement Date	Masterlist Identifier	Party A	Party B Account	Party B Actioned	Request Status
All	All	All	All	REJECTED / PENDING	All

RFA ID	Reference Master Agreement Date	Masterlist Identifier	Party A	Party B Account	Party B Actioned Rejected	Party B Actioned Pending	Request Status	Submitted Date	Next Steps	Actions
1000000745	04-May-2012	FMB Test	Bank New	1 1 1			Draft			SEND RFA
1000000723	09-May-2012	FMB EMEA Test	Bank New	2 1 1			Draft			
1000000536	23-Jul-2013	Funds - Dealer for Demo	Dealer for Demo	3	1	1	Submitted	15-Jul-2016		
1000000738	09-May-2012	FMB EMEA Test	Bank New	2		1	Submitted	23-May-2017		

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