



## Evaluation

**Our Evaluation service provides the capability to evaluate and feedback to your research and execution partners for their services**

IHS Markit offers a seamless experience to simplify the broker evaluation process. Integrated with our Interaction and Payment platforms, we deliver a solution to help you effectively manage and distribute feedback.

Evolving global regulations have led to a heightened focus on how commission dollars are allocated. Investment professionals need to evaluate the services that are being delivered to them by their counterparties and determine how to compensate them for those services appropriately.

IHS Markit Evaluation improves your broker evaluation process by allowing you to implement a formalised procedure to track the evaluation cycle, set up ballots, review results, broker rankings and provide feedback to counterparties.

### KEY STATS

**45,000+**

investment professionals in database

**3,500+**

research providers and vendors

**10 years**

activity maintained on system

### CUSTOMER

**Asset managers**

**Hedge funds**

**Broker dealers**

**Research providers**

**Investment banks**

### Integrated with Interaction and Payment platforms

Review attended meetings during the evaluation period to help evaluate counterparty relationships. Retrieve evaluation results in the Payment platform to make payments for eligible services with CCA/CSA credits or Research Payment Account funds.

### Easily manage the broker evaluation process

Manage the evaluation process through a workflow timeline and graph and track where evaluators are in the ballot completion process.

### Historical insight

View historical ballots and seamlessly integrate prior evaluation results to enable analysis.

### Global support

A dedicated team of relationship managers will provide streamlined onboarding and ongoing support.

### Reporting and compliance

All evaluation data, broker rankings and hierarchy data are available once broker evaluations are completed.

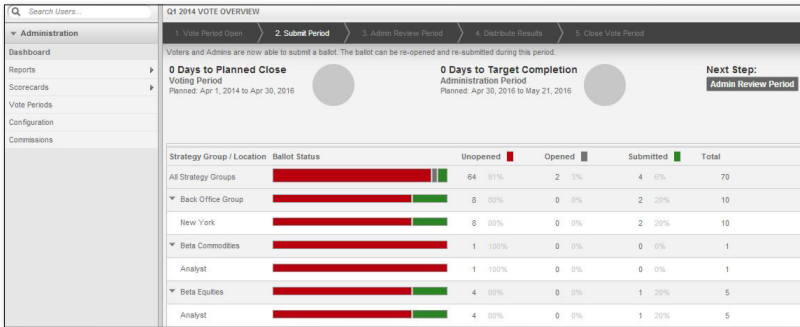
### Flexible evaluation ballots

Evaluation using points, rankings or currency. Evaluators can track points allocated across firms, individuals and categories of their choice. The system automatically saves information every 30 seconds.

### Detailed broker scorecards

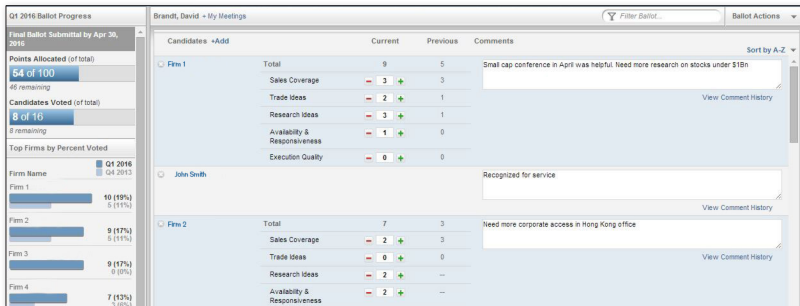
Scorecards highlight detailed reporting for counterparty evaluations, including market share analysis, evaluation trend data and qualitative feedback. Scorecards can be sent directly from the system both internally and externally.

## Dashboard:



Navigate through the steps of the evaluation cycle and view ballot status by team or other criteria.

## Ballot:



REPORT:	Meetings
DateRun:	Mar 31 2016 3:21 PM
VotePeriod:	Q4
Attendee:	David Brandt
Provider Name	Meeting Type
Firm 1	Analyst Meeting 1:1
Meeting Name	Description
Analyst Custom Request	Analyst 1:1
Start Date	End Date
12/1/2015	12/1/2015
Provider Attendees	
John Doe	

Each user evaluates firms and/or individuals in a single ballot.

## Commissions report:

Rank	Firm Name	Calculated % of Points	Edited % of Points	Calculated Amount of Points	Edited Amount of Points	New Rank	Change in Rank	Comments
1	Firm 1	43.5897	0.0000	435897.0000	0.0000	0	0	
2	Firm 2	33.3333	0.0000	333333.0000	0.0000	0	0	
3	Firm 3	23.0769	0.0000	230769.0000	0.0000	0	0	
Totals:		100.0000%	0.0000%	1,000,000.00	0.0000			
Differences:			-100.0000%		-999,999.0000			

Allocate total commission pool among the firms you have evaluated. Integration with the Payments application enables you to import evaluation results to allocate payments.

## More information on IHS Markit products and services

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