

KEY STATS

3,500+

research providers and vendors

250+

buyside participants

60+

sellside participants

10 years

activity maintained on system

\$165mm

CCA/CSA payments directed annually

CUSTOMERS

Buy-side firms utilizing CCA/CSA programs



Payments for the buyside

Easily manage client commission arrangements/commission sharing agreements (CCA/CSA) and eligible payments across multiple counterparties on a single platform

Designed in partnership with leading global banks, IHS Markit provides a virtual aggregation platform to efficiently manage CCA/CSA credits, balances, payments and trade reconciliations. Integration with our Vote platform allows seamless retrieval of vote results to support commission allocations.

Evolving global regulations have led to heightened focus on how commission dollars are allocated. Managing multiple CCA/CSA programmes separately is complex and operationally inefficient, while the use of custodial aggregation could introduce single counterparty risk.

We provide buyside institutions with a single solution to virtually aggregate, view and manage CCA/CSA credits, while diversifying single counterparty risk. Our automated reconciliation engine uses sophisticated matching criteria to easily identify discrepancies. We enable customers to easily manage payments across CCA/CSA and eligible research/brokerage services in a more efficient and cost effective manner.

Virtual aggregation

Web-based application delivering an aggregated view of CCA/CSA data across brokers.

Integrated with Evaluation

Customers with access to Evaluation can seamlessly retrieve vote results to make payments for value-added services with CCA/CSA credits.

Automated reconciliation tool

Sophisticated trade matching engine reduces time and replaces manual resources for reconciling trades. Trade files can be submitted via OMS provider, Excel uploads or other internal systems.

Global support

Dedicated relationship manager provides streamlined onboarding and ongoing support around the clock.

Reporting and compliance

Budget, fund level and audit reports, as well as historical data available for up to ten years.

Centralised payment management

Easily manage payments across CCA/CSA brokers. Split payment functionality allows balances to be combined to meet obligations.

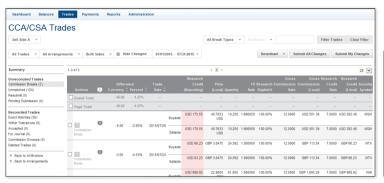
Payments application for the buyside

Dashboard



Allows you to view execution and research commissions, as well as client CCA/CSA across counterparties for a holistic view.

Automated reconciliation tool



Allows you to view and reconcile trade breaks. Set tolerances to match the fields of your choice.



Payment calculator



Allows you to import results from the Evaluation platform and split payments across multiple CCA/CSA brokers.

More information on IHS Markit products and services

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